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DATE MAILED: 08/24/2006

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/613,967	07/03/2003	Geert Frank Bruynsteen	US000052A	6741	
24737 7.	590 08/24/2006		EXAMINER		
PHILIPS INT P.O. BOX 300	ELLECTUAL PROP	BELIVEAU, SCOTT E			
BRIARCLIFF MANOR, NY 10510			ART UNIT	PAPER NUMBER	
	•		2623		

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary		Ar	Application No. Applicant(s)					
		10	0/613,967	BRUYNSTEEN, C	BRUYNSTEEN, GEERT FRANK			
		Ex	aminer	Art Unit				
			ott Beliveau	2623				
Period fo	The MAILING DATE of this commun or Reply	ication appears	s on the cover sheet	with the correspondence ac	ddress			
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Status								
1)⊠	Responsive to communication(s) file	nd on 17 Augus	+ 2006					
2a)□	Responsive to communication(s) filed on <u>17 August 2006</u> . This action is FINAL . 2b)⊠ This action is non-final.							
<i>'</i> —	, _							
ال (٥	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.							
	ologed in accordance with the practic	ce dildei Ex pe	ine Quayle, 1955 C.	.D. 11, 400 O.G. 210.				
Dispositi	on of Claims							
4)🖂	Claim(s) <u>21-31 and 33-40</u> is/are pending in the application.							
	4a) Of the above claim(s) is/are withdrawn from consideration.							
5)[Claim(s) is/are allowed.							
6)⊠	Claim(s) <u>21-31 and 33-40</u> is/are rejected.							
7)	Claim(s) is/are objected to.							
	Claim(s) are subject to restric	tion and/or ele	ction requirement.					
Applicati	on Papers							
و الم	The specification is objected to by the	- Evaminar						
	The drawing(s) filed on is/are:		d or b) abjected to	a by the Eveniner				
ات(۱۰								
	Applicant may not request that any object				ED 4 404(-1)			
11)	Replacement drawing sheet(s) including The oath or declaration is objected to							
		by the Exami	ner. Note the attach	ed Office Action of form P	10-152.			
Priority u	ınder 35 U.S.C. § 119							
12) 🗌 .	12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
_	☐ All b)☐ Some * c)☐ None of:							
	1. Certified copies of the priority	documents ha	ve been received.					
	2. Certified copies of the priority documents have been received in Application No							
	3. Copies of the certified copies of				Stage			
	application from the Internation				g-			
* S	ee the attached detailed Office action			ot received.				
Attachmen	t(s)							
_	e of References Cited (PTO-892)		4) Interview	Summary (PTO-413)				
2) 🔲 Notic	e of Draftsperson's Patent Drawing Review (P		Paper No	o(s)/Mail Date				
	nation Disclosure Statement(s) (PTO-1449 or I No(s)/Mail Date	PTO/SB/08)		5) Notice of Informal Patent Application (PTO-152) 6) Other:				

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 04 August 2006 has been entered.

Response to Arguments

2. Applicant's arguments filed on 04 August 2006 have been fully considered but they are not persuasive.

Applicant's argue that the particular usage of a decoding coefficient utilized by a rendering circuit in order to generate a video stream with a particular quality level does not constitute a 'making an adjustment to quality that is based on a change to software that controls a rendering circuit'. The examiner respectfully disagrees. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). The Nishio renderer clearly changes its operation based upon a change to software (ex. change to a variable as opposed to a physical/hardwired change to the decoder) that controls the particular output from the decoding operation. The simply are not limiting with respect to the scope and nature of 'making a change to software that controls a rendering circuit to

effect a change in quality' such that a change to employ a particular coefficient utilized by the decoder does not constitute a change to the software as claimed.

Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 21-31 and 33-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Levin et al. (US Pat No. 6,654,546 B1) in view of Nishio et al. (US Pat No. 6,345,388 B1).

In consideration of claim 21, the Levin et al. reference discloses a "method of enabling an end-user to locally processes content information at a quality level remotely adjustable by a service provider". In particular the method comprises "communicating over a data network" (ex. telephone network) (Col 3, Lines 1-16) "with an end user apparatus for rendering content information" [101] associated with video programming (Col 2, Lines 17-41). The "end-user apparatus" [101] may subsequently be "configured . . . to locally adjust the quality of content information rendered through the end-user apparatus, the adjustment to quality being based on. . . a change in the storage capacity for storing content information in a storage device associated with the end-user apparatus" (Col 3, Line 56 – Col 4, Line 8). For example, a recording device may be shipped that is only allowed to record and subsequently render the correspondingly stored low quality of video. Therefore, the system when shipped would initially be unable to store/render high quality video. The particular service provider upgrade

would subsequently enable the system to adjust the quality of stored/rendered video in connection with the capability to store a higher quality video than was originally possible.

Page 4

The Levin et al. reference explicitly incorporates by reference US App No. 09/132,690 with respect to details pertaining the "apparatus" [101] (Col 1, Lines 6-18). As illustrated in Figure 2, the "storage device' further comprises "software controlling a rendering circuit" [156] "associated with the end user apparatus" (Page 11, Line 24 – Page 12, Line 5; Page 13, Lines 15-28). The Levin et reference, however, is silent with respect to a "change to the software controlling a rendering circuit".

In an analogous art pertaining to consumer electronic devices which provide a service relating to the processing of the content information local at the end-user's equipment, the Nishio et al. reference discloses a television receiving apparatus [1] wherein an "adjustment to quality [is] based on . . . a change to the software controlling a rendering circuit associated with the end user apparatus" (Col 4, Line 64 – Col 5, Line 43). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Levin et al. with the teachings of Nishio et al. so that the "adjustment to quality [is] based on (1) a change in the storage capacity for storing content information in a storage device associated with the end-user apparatus, and (2) a change to the software controlling a rendering circuit associated with the end user apparatus" for the purpose of providing a means to store/render a video image at a particular quality level based upon based upon a subscriber requested accounting level (Nishio et al.: Col 1, Lines 42-47).

Claim 22 is rejected wherein the "end-user receives a higher quality in return for a higher fee" (Levin et al.: Col 3, Lines 16-25; Col 3, Line 56 – Col 4, Line 8).

Claim 23 is rejected in light of the combined teachings wherein both references teach that the "content information comprises video data" (Levin et al.: Col 2, Lines 17-28; Nishio et al.: Abstract). Levin et al. suggest the particular usage of levels of quality and Nishio et al. reference teaches that "quality level relates to at least one of a color depth and a resolution of the video data when rendered" (Col 5, Lines 29-42).

Claim 24 is rejected as aforementioned wherein "adjusting the quality of the storing comprises regulating a storage capacity of the storage device" (Levin et al.: Col 3, Line 56 – Col 4, Line 8).

Claim 25 is rejected wherein the "regulating of the storage capacity comprises providing end-user access to a selected portion of a local storage" (Levin et al.: Col 3, Lines 26-38).

Claim 26 is rejected wherein the "local storage" [112] comprises a "HDD" and the "storage capacity is regulated by controlling a mechanical component of the HDD" associated with the physical circuitry of the drive (Levin et al.: Col 2, Lines 63-65). For example, limiting the particular ability for the drive to access all sectors associated with its full storage capacity effectively controls the mechanical components of the HDD so as to not read/write to those sectors.

In consideration of claim 27, Levin et al. discloses that the "storage capacity is regulated by controlling an address range of the memory" (Col 2, Lines 55-63). The reference does not explicitly teach that the "local storage comprises a solid state memory", however, the reference teaches that the invention may be implemented using other forms of mass storage (Col 2, Lines 38-41). Applicant's admission of fact provides evidence as to the existence of "solid state memory" as a form of mass storage (ex. FLASH memory). Accordingly, it

would have been obvious to one having ordinary skill in the art at time the invention was made so as to modify Levin et al. such that the "local storage comprises a solid state memory" for the purpose of using a utilizing a form of storage which is small, rugged, and consumes less power than corresponding magnetic drives.

In consideration of claim 28, as aforementioned, the Levin et al. discloses that the "storage capacity is regulated by controlling a mechanical component" of the local storage device [112] (Levin et al.: Col 3, Lines 26-38). The reference, however, does not explicitly disclose that the "local storage comprises a ODD", however, the reference teaches that the invention may be implemented using other forms of mass storage (Col 2, Lines 38-41). Applicant's admission of fact provides evidence as to the existence of "ODD" as a form of mass storage. Accordingly, it would have been obvious to one having ordinary skill in the art at time the invention was made so as to modify Levin et al. such that the "local storage comprises a ODD" for the purpose of using a utilizing a form of storage that is robust in that the stored data cannot be corrupted in the presence of magnetic fields.

Claim 29 is rejected wherein the "locally adjusting the quality comprises changing the software for the rendering circuit to control a data format of the content information for playout" (Nishio et al.: Col 4, Line 51 – Col 5, Line 42).

Claim 30 is rejected in light of the combined teachings as aforementioned. The reference discloses a "CE apparatus" [101] for "processing content information received via a data network" (Col 2, Lines 28-41). The "apparatus" [101] enables "an end-user to select a specific one of multiple quality levels of the processing" and a "controller" [114] "coupled to a storage device" [112]" for "setting the specific quality level in response to a signal supplied

by a third party . . . wherein a specific quality level corresponds to a specific storage capacity allocated for the content information by the controller according to the signal" (Col 3, Line 56 – Col 4, Line 8). The "apparatus" [101] is "configured to receive the content information and the signal via a data network" (Col 2, Lines 28-41; Col 3, Lines 1-16) and the "controller" [114] "sets . . . the specific quality . . . based on (1) a change in the storage capacity for storing content information" (Col 3, Line 56 – Col 4, Line 8). For example, a recording device may be shipped that is only allowed to record and subsequently render the correspondingly stored low quality of video. Therefore, the system when shipped would initially be unable to store/render high quality video. The particular service provider upgrade would subsequently enable the system to adjust the quality of stored/rendered video in connection with the capability to store a higher quality video than was originally possible.

The Levin et al. further explicitly incorporates by reference US App No. 09/132,690 with respect to details pertaining the "apparatus" [101] (Col 1, Lines 6-18). As illustrated in Figure 2, the "apparatus enables processing comprising playing out the content information" and further comprises a "circuit for rendering the content information" [156] as well as software controlling a rendering circuit" [156] (Page 11, Line 24 – Page 12, Line 5; Page 13, Lines 15-28). The aforementioned "controller" [114] of Levin et al. is "coupled to the data rendering circuit" [156]. Levin et al., however, is silent with respect to the "controller . . . setting the specific quality of the rendering under control of the signal" as well as a "change to the software controlling a rendering circuit".

In an analogous art pertaining to video distribution equipment, the Nishio et al. reference discloses a television receiving apparatus [1] wherein the "controller" [12] is "coupled to the

data rendering circuit" [11] "for setting the specific quality of the rendering under control of the signal" (Col 4, Line 64 – Col 5, Line 43). The "setting of the specific quality is based on ... a change to the software controlling a rendering circuit associated with the end user apparatus" (Col 4, Line 64 – Col 5, Line 43). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Levin et al. with the teachings of Nishio et al. so that the "controller is coupled to the rendering circuit for setting the specific quality of the rendering under control of the signal, wherein the setting of the specific quality is based on (1) a change in the storage capacity for storing content information, and (2) a change to the software controlling a rendering circuit associated with the end user apparatus" for the purpose of providing a means to store/render a video image at a particular quality level based upon based upon a subscriber requested accounting level (Nishio et al.: Col 1, Lines 42-47).

Claim 31 is rejected wherein the "storage device" [101] "comprises at least one of: a HDD" (Col 2, Lines 28-28).

Claim 33 is rejected as aforementioned wherein the Levin et al. reference discloses that the "content information comprises video data" (Col 2, Lines 17-28). While the reference discloses the particular usage of levels of quality associated with video content, the reference does not particularly disclose that the "quality level relates to at least one of a color depth and a resolution of the video data when rendered". In an analogous art pertaining to video distribution equipment, the Nishio et al. reference discloses a television receiving apparatus [1] that is operable to locally adjust the quality of rendered video wherein the "specific quality determines at least one of a color depth and a resolution of the rendered content

information" (Col 5, Lines 29-42). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made so as to modify Levin et al. with the teachings of Nishio et al. for the purpose of providing a means to store/render a video image at a specific quality based upon based upon a subscriber requested accounting level (Nishio et al.: Col 1, Lines 42-47).

Claim 34 is rejected in view of the combined references. As illustrated in Figure 1, the reference discloses an "end-user system". The "system" comprises an "output" [105] for "rendering of content information to an end user" such as that associated with video programming. A "communication interface" [115] for "communicating over a data network with a third party server for remotely adjusting the rendering of content information on the end-user system" wherein the "quality of content information rendered through the output [is] adjustable by the server [and] the adjustment to quality [is] based on . . . a change in the storage capacity for storing content information in a storage device" [112] "associated with the end user apparatus" (Col 3, Line 1 – Col 4, Line 8).

The Levin et al. explicitly incorporates by reference US App No. 09/132,690 with respect to details pertaining the recording device [101] (Col 1, Lines 6-18). As illustrated in Figure 2, the "storage device" further comprises a "software controlling a rendering circuit" [156] "associated with the end user system" (Page 11, Line 24 – Page 12, Line 5; Page 13, Lines 15-28). The Levin et reference, however, is silent with respect to a "change to the software controlling a rendering circuit".

In an analogous art pertaining to consumer electronic devices which provide a service relating to the processing of the content information local at the end-user's equipment, the

Page 10

Nishio et al. reference discloses a television receiving apparatus [1] wherein an "adjustment to quality [is] based on . . . a change to the software controlling a rendering circuit associated with the end user apparatus" (Col 4, Line 64 – Col 5, Line 43). Accordingly, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify Levin et al. with the teachings of Nishio et al. so that the "adjustment to quality [is] based on (1) a change in the storage capacity for storing content information in a storage device associated with the end-user apparatus, and (2) a change to the software controlling a rendering circuit associated with the end user system" for the purpose of providing a means to store/render a video image at a particular quality level based upon based upon a subscriber requested accounting level (Nishio et al.: Col 1, Lines 42-47).

Claim 35 is rejected wherein the "storage capacity of the storage device is remotely adjustable" (Levin et al.: Col 2, Line 66 – Col 3, Line 38).

Claim 36 is rejected in light of the combined teachings such that the "software is remotely adjustable" in accordance with providing field upgrades to the recording/playback unit so as to provide particular quality levels of output (Levin et al.: Figure 1; Col 3, Line 56 – Col 4, Line 8).

.Claim 37 is rejected wherein the "storage device" [101] "comprises at least one of: a HDD" (Levin et al.: Col 2, Lines 28-28).

Claim 38 is rejected wherein the "quality of content information comprising video information is remotely adjustable and the output apparatus comprises a video display" (Levin et al.: Figure 1; Col 2, Lines 23-27; Col 3, Line 56 – Col 4, Line 8).

Claim 39 is rejected wherein the "output comprises a television display" [105] (Levin et al.: Figure 1).

Claim 40 is rejected wherein the "storage device comprises a PVR" (Levin et al.: Col 1, Lines 49-53).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Scott Beliveau whose telephone number is 571-272-7343. The examiner can normally be reached on Monday-Friday from 8:30 a.m. - 6:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Miller can be reached on 571-272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Scott Beliveau

Application/Control Number: 10/613,967

Art Unit: 2623

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Primary Examiner Art Unit 2623 Page 12

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August 22, 2006